

Common Application Form for open-ended Equity Schemes

HSBC  Mutual Fund

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units)

Distributor / Broker ARN ARN - 13308	Sub-Broker Code
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Application No. :

For Office Use Only

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor. Any correction of Broker Code requires investor's authentication.

1 EXISTING UNITHOLDER (Please fill in your Folio No. below. Please furnish only KYC and PAN details in Section 2 (if not provided earlier) and proceed to Section 3)

Please note that applicant details and mode of holding will be as per existing Folio Number.

Folio No.

2 APPLICANT'S INFORMATION (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words)

SOLE / FIRST APPLICANT'S PERSONAL DETAILS

Are you a resident of USA/Canada? (✓) Yes ☐ No ☐ ** Default if not ticked.

Name Mr Ms M/s

Date of Birth [†] PAN** Enclosed (✓) ☐ PAN Card Copy ☐ KYC Compliance Proof*

~ Proof Enclosed (✓) ☐ Birth Certificate ☐ School Leaving Certificate ☐ Marksheet issued by HSC/State Board ☐ Passport ☐ Others (please specify)

Receive Account Statement, Annual Reports and other information instantly by e-mail[^]

☐ I/We wish to receive updates via SMS on my mobile (✓)

e-mail

☐ I / We wish to receive the above by email [^] Note : Where the investor has not opted for any option or has opted for both options, the application will be processed as per the default option, i.e., receive the account statement, annual reports and other correspondence by e-mail and receive SMS updates on Mobile.

Occupation[‡] (✓) ☐ Private Sector Service ☐ Public Sector / Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify)

Guardian Name (if Sole / First applicant is a Minor) **Contact Person** (in case of Non-individual Investors only)

Mr Ms M/s

☐ Natural Guardian* (Father or Mother) ☐ Legal Guardian** (court appointed Guardian)
* Document evidencing relationship with Guardian

** In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support.

PAN** (Mandatory) Enclosed (✓) ☐ PAN Card Copy ☐ KYC Compliance Proof*
PAN/KYC not required for contact person but required for Guardian of Minor.

Nationality[‡] Country of Residence[‡]

Address for Correspondence[‡] [P.O. Box Address is NOT sufficient] (Should be same as in CVL records, please refer to point 8 under Important Instructions)

City Pin Code
State Country

Contact Details

Phone O R Extn. Mobile Fax

Overseas Address (Mandatory in case of NRI / FII applicant in addition to mailing address) (Should be same as in CVL records, please refer to point 11 under Important Instructions)

State Country City Zip Code

Status (✓) ☐ Resident Individual ☐ Minor ☐ Partnership ☐ Company ☐ HUF ☐ FII ☐ NRI ☐ Trust ☐ Society ☐ AOP / BOI ☐ Others

Mode of Holding (✓) ☐ Single ☐ Joint (Default if not mentioned) ☐ Anyone or Survivor

Name of Second Applicant (Not applicable if Sole / First Applicant is a Minor)

Mr Ms M/s

PAN** (Mandatory) Enclosed (✓) ☐ PAN Card Copy ☐ KYC Compliance Proof*

Name of Third Applicant (Not applicable if Sole / First Applicant is a Minor)

Mr Ms M/s

PAN** (Mandatory) Enclosed (✓) ☐ PAN Card Copy ☐ KYC Compliance Proof*

PoA Holder Details* (If the investment is being made by a Constituted Attorney please furnish Name and PAN of PoA holder)

Mr Ms M/s

PAN** (Mandatory) Enclosed (✓) ☐ PAN Card Copy ☐ KYC Compliance Proof*

PoA copy notarised or the original copy of PoA needs to be submitted in case of Investment through PoA.

* W.e.f. January 1, 2011, all the applicants need to be KYC Compliant irrespective of the amount invested (including switch) (for details refer point 8 under Important Instructions).

** Please note that w.e.f. January 1, 2008, PAN number is Mandatory for all investors (including Joint Holders, Guardian in case of Minor and NRIs). Please see point 6 under Important Instructions. However, for Micro SIP Investment Please see Instruction 4C.

‡ Please note that information sought here will be obtained from CVL also. In case of any differences, the CVL input will apply.

...continued overleaf →

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

Note: This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

Application No. :

Received from Mr Ms M/s

Folio No. application for Units of Scheme

Option/Sub-option ☐ Lumpsum investment alongwith Cheque/DD No.

Dated Drawn on (Bank) Amount (Rs.)

☐ SIP Investment ☐ Total Cheques ☐ ECS (Debit Clearing)/Direct Debit Facility Total Amount (Rs.)

Date / /

Please Note : All purchase are subject to realisation of instruments. All transaction processing is subject to final verification.

ISC Stamp, Signature & date

1 ECS DEBIT BANK ACCOUNT DETAILS (MANDATORY) (Cheque should be drawn on bank, details provided below or please attach cancelled Cheque copy.)

I / We hereby authorise HSBC Asset Management (India) Pvt. Ltd., Investment Manager to HSBC Mutual Fund acting through their authorised service providers to debit my / our following bank account by ECS (Debit Clearing) / Direct Debit Facility or any other facility for collection of SIP payments.

Name of the Account Holder as in Bank Records	First Name	Middle Name	Last Name
Folio No.	Scheme Name		
Name of the Bank			
Branch Address	City		
Account Number	A/c. Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* * For NRI Investors		
Monthly Debit Amount	SIP Date (✓) Monthly: <input type="checkbox"/> 3rd <input type="checkbox"/> 10th <input type="checkbox"/> 17th <input type="checkbox"/> 26th <input type="checkbox"/> 30th ## <input type="checkbox"/> All Dates <input type="checkbox"/> Quarterly (10th) ## Last Business Day of the month for February		
MICR Code	SIP Period Start Date MM YY End Date MM YY		

(9 digit number next to your Cheque No.)

There should be a minimum time gap of 25 Business Days for the first instalment of SIP through ECS (Debit Clearing) or Direct Debit.. Minimum 12 instalments under Monthly SIP and 4 quarters for Quarterly SIP.

2 AUTHORISATION OF THE BANK ACCOUNT HOLDER [to be signed by the Account Holder(s)]

This is to inform I / we have registered for the RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit Facility and that my / our payment towards my / our investment in HSBC Mutual Fund shall be made from my / our below mentioned bank account number with your bank. I / We authorise HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), acting through their service providers and representative carrying this ECS mandate / Direct Debit Facility Form to get it verified & executed. Mandate verification charges, if any, maybe charged to my / our account.

SIGNATURE(S) (As In Bank Records)

Account Number			
	Sole/First Account Holder	Second Account Holder	Third Account Holder

AUTO DEBIT FORM - For MICRO SIP Investments (Refer Instruction 4C on Page 12)

1 ECS DEBIT BANK ACCOUNT DETAILS (MANDATORY) (Cheque should be drawn on bank, details provided below or please attach cancelled Cheque copy.)

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Folio No.	Scheme Name		
Name of the Bank			
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SIGNATURE(S) (As In Bank Records)

Account Number			
	Sole/First Account Holder	Second Account Holder	Third Account Holder

ECS / Direct Debit - Terms & Conditions

- ECS facility is offered to the investors having bank accounts in **select cities mentioned below.**
 - Agra • Ahmedabad • Allahabad • Amritsar • Ansol • Aurangabad • Bangalore • Bardhaman • Baroda • Belgaum • Bhiwara • Bhopal • Bhubaneswar • Bijapur • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Cuttack • Davangere • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gorakhpur • Guwahati • Gwalior • Haldia • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkatta • Lucknow • Ludhiana • Mandya • Mangalore • Mumbai • Mysore • Nasik • Nagpur • Nellore • Panjim • Patna • Pune • Raipur • Rajkot • Ranchi • Salem • Shimla • Shimoga • Sholapur • Siliguri • Surat • Tirupati • Trichur • Trivandrum • Tumkur • Udaipur • Udupi • Varanasi • Vijayawada • Vizag

List of Banks / Branches for SIP Direct Debit Facility

Banks	Branches
Axis Bank Limited, HDFC Bank Ltd., CICI Bank, IIDBI Bank Limited, IndusInd Bank Limited, ING Vysya Bank Ltd. and Kotak Mahindra Bank Limited	All Branches
Bank of Baroda, Bank of India and Punjab National Bank	Select Branches
- The cities in the list may be modified / updated / changed / removed at any time in future entirely at the discretion of HSBC Mutual Fund without assigning any reason or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
- The bank account provided for ECS (Debit) should participate in local MICR clearing.
- Investor will not hold HSBC Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS / Direct Debt Facility.
- HSBC Asset Management (India) Pvt. Ltd., Registrars (CAMS) and other service providers shall not be responsible and liable for any damages / compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- You can choose to discontinue this facility by giving 25 Business Days written notice to our Registrar CAMS.
- HSBC AMC and its service providers reserve the right to disclose the details of the Investors and their transactions using the SIP Auto Debit to third parties for the purposes of verification and execution of the Auto Debit Facility as also for the purpose of law enforcement, fraud prevention, audit and inspection requirement etc.
- The Investor undertakes and agrees that the SIP Auto Debit Facility requested for via this Form is subject to acceptance of the terms and conditions mentioned in the Combined SID, SAI.