

APPLICATION FORM FOR UNION KBC EQUITY FUND

Application No. 5001304



(Please read the instructions carefully and complete the relevant section legibly in black / dark coloured ink and in BLOCK LETTERS.)

Broker Code/ ARN ARN-13308	Sub-Broker Code/ Lead Generator Code	Branch Code	MO Code	Collection Date	D D M M Y Y Y Y
				Time Stamping	

Any upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors assessment of various factors including the service rendered by the distributor.

1. EXISTING UNIT HOLDER INFORMATION (Please complete Section 1, 6 & 8 only) * Mandatory

Folio No.	Application through ASBA [Please shade (●)]	<input type="radio"/> Yes <input type="radio"/> No	(Refer Section 'G' of instructions)
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2. APPLICANT INFORMATION * [Please shade (●)] (Refer Section 'B' and 'C' of instructions) (Please ensure that the details mentioned matches with the KYC details)

Name of First Applicant / Non-individual Investor (First time investors, please fill in all the blocks)	Date of Birth (Mandatory in case of minor)	D D M M Y Y Y Y
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Mr. Ms. M/s.

Name of Guardian (In case of minor) / PoA Holder / Contact Person (In case of Non-individual Investors)

Mr. Ms.

Relationship with Minor (Please attach proof for date of birth and relationship)	<input type="radio"/> Mother <input type="radio"/> Father <input type="radio"/> Legal Guardian	Designation of Contact Person (For Non-individual Investor)
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Name of Second Applicant

Mr. Ms.

Name of Third Applicant

Mr. Ms.

PAN and KYC* [Please shade (●)] (Not applicable for Micro SIP and investor(s) from Sikkim)	Mode of Holding	Status
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Applicant Details	PAN *Please attach Proof	Know Your Client (KYC)	Mode of Holding	Status
First Applicant		<input type="radio"/> KYC Proof	<input type="radio"/> Single	<input type="radio"/> Resident Individual <input type="radio"/> Minor <input type="radio"/> HUF
Second Applicant		<input type="radio"/> KYC Proof	<input type="radio"/> Joint (Default option)	<input type="radio"/> Sole Proprietor <input type="radio"/> FII <input type="radio"/> Bank
Third Applicant		<input type="radio"/> KYC Proof	<input type="radio"/> Anyone or Survivor	<input type="radio"/> NRI-NRE <input type="radio"/> NRI-NRO <input type="radio"/> PIO-NRE
Guardian/ PoA Holder		<input type="radio"/> KYC Proof		<input type="radio"/> PIO-NRO <input type="radio"/> Society <input type="radio"/> Trust
				<input type="radio"/> Partnership Firm <input type="radio"/> Govt. Entity <input type="radio"/> Company
				<input type="radio"/> Others (Please Specify)

OCCUPATION	<input type="radio"/> Service <input type="radio"/> Housewife <input type="radio"/> Business <input type="radio"/> Professional <input type="radio"/> Retired <input type="radio"/> Student <input type="radio"/> Political Rep. <input type="radio"/> Others (Please Specify)
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3. CONTACT INFORMATION (Refer Section 'I' of instructions)

Mailing address of First Applicant / Non-individual Investor (P. O. Box address is not sufficient.)

City	State	Pin Code
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Overseas address (Mandatory for NRI/FII. P. O. Box address is not sufficient. Investors residing overseas and with P. O. Box address please provide your Indian address)
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City	Country	Area Code
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Contact details of First Applicant/ PoA Holder/ Contact Person (Refer Section I of Instructions) (Please ensure to mention Country and Area Code)

Tel. (Off.)	Country/ Area code	Mobile	Country/ Area code
Tel. (Res.)	Country/ Area code	Fax	Country/ Area code
E-mail			



4. INVESTOR PROFILE [Please shade (●)]

	Gender	Annual Income (₹)				Annual Savings (₹)			
First Applicant	<input type="radio"/> M <input type="radio"/> F	<input type="radio"/> <2L	<input type="radio"/> 2L-5L	<input type="radio"/> 5L-10L	<input type="radio"/> >10L	<input type="radio"/> <1L	<input type="radio"/> 1L-3L	<input type="radio"/> 3L-5L	<input type="radio"/> >5L
Second Applicant	<input type="radio"/> M <input type="radio"/> F	<input type="radio"/> <2L	<input type="radio"/> 2L-5L	<input type="radio"/> 5L-10L	<input type="radio"/> >10L	<input type="radio"/> <1L	<input type="radio"/> 1L-3L	<input type="radio"/> 3L-5L	<input type="radio"/> >5L
Third Applicant	<input type="radio"/> M <input type="radio"/> F	<input type="radio"/> <2L	<input type="radio"/> 2L-5L	<input type="radio"/> 5L-10L	<input type="radio"/> >10L	<input type="radio"/> <1L	<input type="radio"/> 1L-3L	<input type="radio"/> 3L-5L	<input type="radio"/> >5L
Risk Tolerance	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	Investment Horizon	<input type="radio"/> 1-12 months <input type="radio"/> 12-24 months <input type="radio"/> 24-36 months <input type="radio"/> 36 months and above						

6A DEBIT MANDATE FOR UNION KBC EQUITY FUND (Lumpsum Investment) (For Union Bank of India account holders only)

Application No. 5001304

To be detached by the Registrar (CAMS Pvt. Ltd.) and presented to Union Bank of India.

To Branch Manager - Union Bank of India

Date ___/___/___

I / We _____

authorise you to debit my / our Account No. _____ ₹ (in figures) _____

₹ (in words) _____ to pay for the purchase of units of Union KBC Equity Fund.

Signature of Account Holder(s) / Authorised Signatory(ies)
(As per Bank records)

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No. 5001304



Received from: Mr./ Ms. /M/s _____

an application for units of _____ (Scheme/Option)

Instrument No _____ Amount _____

Collection centre's stamp with date and time of receipt

For Office use only

NFO OPENS ON : May 20, 2011

CLOSES ON : June 03, 2011

5. BANK ACCOUNT DETAILS* [Please shade (●)] (Refer Section 'D' of instructions) (Multiple Bank Accounts Registration form available at www.unionkbc.com)

Bank Name												
Bank A/C No						Bank Branch						
A/C Type	<input type="radio"/> Savings	<input type="radio"/> Current	<input type="radio"/> NRE	<input type="radio"/> NRO	<input type="radio"/> FCNR	<input type="radio"/> Others	(Please Specify)					
Bank City						State				PIN		
IFSC CODE						MICR CODE						
Document Attached	<input type="radio"/> Bank Statement	<input type="radio"/> Cancelled cheque with name pre-printed	<input type="radio"/> Pass Book	In case bank details are not provided in 'Multiple Bank Account Registration Form' as default bank, the above section 5 bank details shall be treated as default bank.								
(IFSC Code is the 11 digit no appearing on your cheque leaf, mandatory for credit via NEFT/ RTGS) (MICR Code is the 9 digit code next to the cheque no)												

6. INVESTMENT AND PAYMENT DETAILS* [Please shade (●)] (Refer Section 'E' and 'F' of instructions) (Third Party payment(s) will not be accepted)

Scheme	U N I O N K B C E Q U I T Y F U N D											
Option	<input type="radio"/> Growth (Default)	<input type="radio"/> Dividend Pay Out	<input type="radio"/> Dividend Reinvestment	<input type="radio"/> Dividend Sweep	(Default option/sub option will be applied incase of no information, ambiguity or discrepancy)							
Dividend Sweep to	U N I O N K B C											
Option						Sub Option						

LUMP SUM	Payment Mode:	<input type="radio"/> Cheque	<input type="radio"/> DD	<input type="radio"/> RTGS	<input type="radio"/> NEFT	<input type="radio"/> Fund Transfer	<input type="radio"/> Debit Mandate (Union Bank of India A/C Holders only)		
	Cheque / DD / RTGS / NEFT No.						Cheque / DD / RTGS / NEFT Date	D D M M Y Y Y Y	
	Amount in ₹ (Figures)							Amount in ₹ (words)	
	Source Bank Name						Source Branch		
	Source Bank A/C No.						Account Type	<input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRE <input type="radio"/> NRO <input type="radio"/> FCNR	
<input type="radio"/>	Cheque Issuer Name	In case the cheque is issued by a person other than the investor							
	Document attached in the case of third party payments	<input type="radio"/> Proof / Bank Certificate for DD	<input type="radio"/> Third Party Declarations						

MICRO SIP	<input type="radio"/> SIP Through Post Dated Cheques (Available only during the Ongoing Offer Period)	<input type="radio"/> SIP Through Auto Debit (Please fill and attach the SIP Auto Debit Form) (Available during NFO and Ongoing Offer Period)						
	SIP Period From	D D M M Y Y Y Y To D D M M Y Y Y Y SIP Date	<input type="radio"/> 2nd <input type="radio"/> 8th <input type="radio"/> 15th <input type="radio"/> 23rd					
	SIP Frequency	<input type="radio"/> Monthly <input type="radio"/> Quarterly	Instalment Amount in ₹ (Figures)	No. of Instalments				
	Cheque Nos.	From To	Drawn on Bank A/c No.					
SIP	Bank Name						Branch	
	Document Details in case of Micro SIP [^]	Document Description	Document No. (if any)					
<input type="radio"/>	I/We here by declare that we do not have any existing SIPs which together with the current application, in a rolling 12 month period, or in a financial year i.e. April to March, will result in aggregate investments exceeding ₹ 50,000/-.							

7. NOMINATION DETAILS* [Please shade (●)] (Refer Section 'H' of instructions) (In case of multiple nominees, please complete the separate nomination form available on our website)

Please register nomination as requested below I/ We wish to nominate multiple nominees[®] I/ We do not wish to nominate[®]
([®]Please strike out the form below)

I/We hereby nominate the under mentioned Nominee to receive the amounts to my / our credit in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee shall be a valid discharge by the AMC / Mutual Fund / Trustee.

Name of the Nominee : _____ Address : _____

Relationship : _____ Date of Birth (In Case of Minor) ____/____/____

Name of the Guardian (in case of minor): _____ Signature of Nominee/ Guardian (not mandatory)

8. DECLARATION & SIGNATURES* (Refer Section 'J' of instructions)

Having read and understood the terms and contents of the Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM), and the relevant addenda, if any of Union KBC Equity Fund, I/We, hereby apply to the Trustees of Union KBC Mutual Fund for Units of the Union KBC Equity Fund and agree to abide by the terms and conditions, rules and regulations of the said Scheme. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We hereby nominate the above nominee(s) to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. I understand that acknowledging receipts my/our credit will constitute full discharge of liabilities of Union KBC Mutual Fund. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations, Rules, Notifications, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: I/We confirm that I am / We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscriptions have been remitted from abroad through normal banking channels or from fund in my/our Non Resident External / Ordinary account/ FCNR account(s).

Signature Sole / First Applicant / Guardian / POA / Authorised Signatory	Signature Second Applicant / POA / Authorised Signatory	Signature Third Applicant / POA / Authorised Signatory
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Document Checklist	Individual	Company	Society	Partnership Firms	Investment through POA	Trusts	NRI
PAN Card (not required for Micro SIP/ investor(s) from Sikkim)	✓	✓	✓	✓	✓	✓	✓
KYC Acknowledgement	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorisation to invest		✓	✓			✓	
List of authorised signatories with specimen signatures		✓	✓	✓	✓	✓	
Memorandum & Articles of Association		✓					
Trust Deed						✓	
Bye-laws			✓				
Partnership Deed				✓			
Notorised POA (signed by investor and POA Holder)					✓		
Bank Account Proof	✓	✓	✓	✓	✓	✓	✓

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the scheme

Computer Age Management Services Pvt. Ltd.,

Unit: Union KBC Mutual Fund

148, Old Mahabalipuram Road, Okkiyam Thuraiyakkam, Chennai - 600097.



Union KBC Asset Management Company Pvt. Ltd.

7th Floor, Piramal Tower, Peninsula Corporate Park, Ganpatrao Kadam Marg, Lower Parel (W), Mumbai - 400013.

Toll Free : 1800 200 2267 | Tel No. : 022 24833333

Website: www.unionkbc.com | Email : investorcare@unionkbc.com